



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: **Chefalas et al.**

Serial No.: **09/866,251**

Filed: **May 25, 2001**

For: **Method and Apparatus for
Performing the Identification of Files
to be Backed Up Using Relational
Meta Data**

35526

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

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Group Art Unit: **2177**

Examiner: **Channavajjala, Srirama T.**

Attorney Docket No.: **YOR920010343US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2004.

By:

Dell Whitton
Dell Whitton

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:
ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AH; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,

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Re application of: **Chefalas et al.**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.

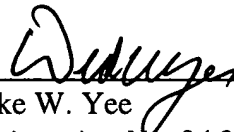
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental information disclosure statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental

information disclosure statement shall not be construed to mean that a search has been made.

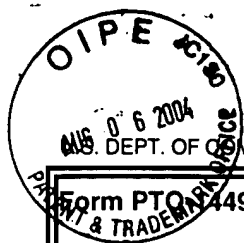
No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Date: August 4, 2004

Respectfully submitted,



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Form PTO-449
PATENT & TRADEMARK**LIST OF PRIOR ART CITED
BY APPLICANT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

YOR920010343US1

SERIAL NO.

09/866,251

APPLICANT **Chefalas et al.**FILING DATE **May 25, 2001**GROUP ART UNIT **2177****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,758,153	May 26, 1998	Atsatt et al.	395/614	Oct. 24, 1995
	AB 5,897,640	Apr. 27, 1999	Veghte et al.	707/202	Mar. 26, 1997
	AC 5,873,085	Feb. 16, 1999	Enoki et al.	707/10	Nov. 20, 1996
	AD 5,819,251	Oct. 6, 1998	Kremer et al.	707/1	Feb. 6, 1996
	AE 5,758,352	May 26, 1998	Reynolds et al.	707/200	Sep. 5, 1996
	AF 5,617,568	Apr. 1, 1997	Ault et al.	395/612	Dec. 14, 1994
	AG 6,697,846 B1	Feb. 24, 2004	Soltis	709/217	Mar. 20, 1998

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

	AH	Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker", Proceedings of the 2 nd ACM Conference on Computer and Communications Security, 1994, pp. 1-18.

RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.